



**ROUND TABLE
FINANCIAL**

BUSINESS INFORMATION

2549 Eastbluff Drive, Suite 490, Newport Beach, CA 92660
Phone: 949-506-1515 ♦ Fax: 949-524-8599
Web site: <http://www.rtfincgroup.com>

In order to expedite the approval process, please print or write clearly. Additional information will be required prior to funding.

Business Name: _____ Phone: _____
 Doing Business As (Other Trade Name(s)): _____ Fax: _____
 Street Address: _____
 City: _____ State: _____ Zip: _____ County: _____
 Web Address: _____ Email Address: _____
 Date Business Established: _____ Legal Business Status: Sole Proprietor Partnership Corporation LLC
 Type and description of Business: _____ Number of Employees: _____
 Federal ID Number: _____ Federal or State Taxes Past Due? Yes No Tax lien filed? Yes No
 If yes to any above, what type/amount: _____

PRINCIPALS, OWNERS, PARTNERS & OFFICERS

Please list any additional officers under Notes section

Name: _____ Title: _____	Name: _____ Title: _____
Percent Owned: _____ Date of Birth: _____	Percent Owned: _____ Date of Birth: _____
Driver's License #: _____ State: _____	Driver's License #: _____ State: _____
Social Security Number: _____	Social Security Number: _____
Home Street Address: _____	Home Street Address: _____
City: _____ State: _____ Zip: _____	City: _____ State: _____ Zip: _____
Home Phone: _____ Cell Phone: _____	Home Phone: _____ Cell Phone: _____
Email Address: _____	Email Address: _____

BANKING INFORMATION

Bank Name: _____
 City: _____ State: _____ Zip: _____
 Phone: _____ Fax: _____
 Checking Account #: _____
 Any Commercial Loans Outstanding? Yes No
 Amount: \$ _____ Loan Account #: _____
 Collateral Pledged: _____
 Bank Officer: _____ Phone: _____

ACCOUNTS RECEIVABLE INFORMATION

Anticipated monthly factoring volume: \$ _____
 Current account balance outstanding: \$ _____
 Have you factored before? Yes No _____
 If yes, with whom? _____
 How did you hear about Round Table Financial? _____
 What's the purpose of funds? _____
 Additional Notes: _____

SUPPORT DOCUMENTS CHECKLIST

In order to appropriately evaluate and process your application, please include applicable support documents with your completed application.

- | | |
|---|---|
| <input type="checkbox"/> Articles of Incorporation or Assume Name Certificate | <input type="checkbox"/> Copy of Contract(s) or Purchase Order(s) |
| <input type="checkbox"/> Copy of Applicant(s) Driver's License(s) and Social Security Card(s) | <input type="checkbox"/> Copy of Operating Authority (MC# _____) (Trucking) |
| <input type="checkbox"/> Accounts Receivable Aging and Invoices | <input type="checkbox"/> Worker's Compensation Insurance |
| <input type="checkbox"/> Certificate of Business/Liability Insurance | <input type="checkbox"/> List of all jobs currently working on (Construction) |
| <input type="checkbox"/> Customer List | <input type="checkbox"/> Federal Tax Identification # / W-9 |

SIGNATURE & AUTHORIZATION

I/We understand that submission of this application does not obligate Round Table Financial to provide any financial services whatsoever. I/We further acknowledge that approval to fund may come only after the Board of Directors of Round Table Financial approves said application and the invoices/accounts offered, in accordance with the terms of Round Table Financial's Security Agreement. The above statements are true and correct to the best of my knowledge and belief. This serves as my permission for the release of any information regarding this application for the purpose of credit investigation to Round Table Financial and or its designees or assignees.

Signed: _____ Date: _____ Print Name: _____ Title: _____
 Signed: _____ Date: _____ Print Name: _____ Title: _____



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Your response to the following questions will help us to appropriately assess your needs and provide customized solutions.

**Please list all loans outstanding
(Use additional page if necessary)**

<u>Lender</u>	<u>Amount Owed</u>	<u>Payment Amount</u>	<u>Frequency</u>
1. _____	_____	_____	_____
2. _____	_____	_____	_____
3. _____	_____	_____	_____
4. What was your total sales last year? \$ _____			
5. What is your average profit margin on each sale? _____% average cost? _____%			
6. How much do you have in total outstanding Accounts Receivables? \$ _____			
7. What is your average customer pay period or term? _____			
8. Given the available and increased level of cash flow you will have, how much more in percentages could you increase your business? _____%			
9. What would be the main purpose of the funds? _____			
10. What is/are the most important thing(s) to you in choosing a lender or financier? _____			
11. Are you willing to work with an investor outside of your local community? _____			
12. Are you willing to sign a personal guarantee and oath against committing fraud? _____			
13. Have you or any owner(s) being convicted of a felony, sued, or filed for bankruptcy, if yes please explain: _____			
14. What minimum and maximum amount do you anticipate to factor on a monthly basis? Minimum \$ _____ and Maximum \$ _____			
15. How would you like to receive the funds? By Check, Bank Transfer or Other, please specify: _____			
16. Have you factored under your present or any other names(s)? _____ If yes, please give name(s): _____			

Signed: _____ Date: _____ Print Name: _____ Phone: _____

Your response will be held in strict confidence. If you have any questions, please call:
949-506-1515 Ext. 160
Email: sales@rtfincialgroup.com